

# Peripheral auditory processing changes seasonally in Gambel's white-crowned sparrow

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**Abstract** Song in oscine birds is a learned behavior that plays important roles in breeding. Pronounced seasonal differences in song behavior and in the morphology and physiology of the neural circuit underlying song production are well documented in many songbird species. Androgenic and estrogenic hormones largely mediate these seasonal changes. Although much work has focused on the hormonal mechanisms underlying seasonal plasticity in songbird vocal production, relatively less work has investigated seasonal and hormonal effects on songbird auditory processing, particularly at a peripheral level. We addressed this issue in Gambel's white-crowned sparrow (*Zonotrichia leucophrys gambelii*), a highly seasonal breeder. Photoperiod and hormone levels were manipulated in the laboratory to simulate natural breeding and non-breeding

conditions. Peripheral auditory function was assessed by measuring the auditory brainstem response (ABR) and distortion product otoacoustic emissions (DPOAEs) of males and females in both conditions. Birds exposed to breeding-like conditions demonstrated elevated thresholds and prolonged peak latencies when compared with birds housed under non-breeding-like conditions. There were no changes in DPOAEs, however, which indicates that the seasonal differences in ABRs do not arise from changes in hair cell function. These results suggest that seasons and hormones impact auditory processing as well as vocal production in wild songbirds.

**Keywords** Songbird · Hormone · Season · Auditory · ABR

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## Abbreviations

ABR Auditory brainstem response  
AR Androgen receptor

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DPOAE	Distortion product otoacoustic emission
ER	Estrogen receptor
F1	First primary tone
F2	Second primary tone
LD	Long day
L1	Level of the first primary tone
L2	Level of the second primary tone
NCM	Caudomedial nidopallium
<i>Q</i>	Quality factor
SD	Short day

## Introduction

Seasons and hormones play an important role in coordinating the breeding activity of many animals. In songbirds, song is important in mate attraction and territorial defense. During the breeding season, songs are typically produced more often, are longer, and are more stereotyped in structure than during the rest of the year (Brenowitz 2008). Morphological and physiological changes occur in the underlying song control circuitry (Brenowitz 2008). During the breeding season, some song nuclei are larger (Nottebohm 1981; Brenowitz 1991; Brenowitz et al. 1998) and fire spontaneously at a higher rate (Park et al. 2005; Meitzen et al. 2007b). These seasonal differences in song behavior and neural circuitry are primarily regulated by the sex steroid hormones testosterone and estrogen (Marler et al. 1988; Tramontin et al. 2003; Soma et al. 2004; Meitzen et al. 2007a).

Many studies have used songbirds as model system for examining the effects of seasons and hormones on vocal production (Brenowitz 2008), but relatively few studies have investigated seasonal and hormonal influences on songbird auditory processing. Seasonal changes in auditory processing have been reported for other animals. Seasonal changes in frequency tuning and temporal response properties have been found in the midbrain inferior colliculus of Northern leopard frogs (Goense and Feng 2005). In female green tree frogs (*Hyla cinerea*), testosterone increases midbrain multiunit thresholds to pure tones that lie within the range of the male advertisement calls (Miranda and Wilczynski 2009b), and females that have mated show frequency specific, decreased multiunit response strength to noise bursts (Miranda and Wilczynski 2009a). Recordings from auditory nerve afferents in female midshipman fish (*Porichthys notatus*) demonstrate more precise phase locking during the breeding season, and this auditory phenotype can be induced in non-reproductive fish by administering testosterone or 17 $\beta$ -estradiol (Sisneros and Bass 2003; Sisneros et al. 2004). Both sex-specific and

seasonal differences have been found in click-evoked otoacoustic emissions of Rhesus monkeys (McFadden et al. 2006; McFadden 2009).

Behavioral, physiological and morphological observations raise the possibility that seasons and hormones also affect auditory processing in songbirds. Male and female zebra finches housed on a long day photoperiod learned an operant song discrimination paradigm faster than those housed on a short-day photoperiod (Cynx and Nottebohm 1992) suggesting that day length may influence song perception (although the effect simply may instead reflect seasonal differences in activity or motivation). Similarly, some evidence suggests that estrogen treatment modulates the song-elicited behavioral responses of female birds (Vyas et al. 2009). There are several reports of seasonal and/or hormonal effects on physiological processing in forebrain areas known to respond to auditory stimuli. For instance, the spontaneous electrophysiological profile of neurons in the song nucleus HVC varies as a function of season in male and female canaries (Del Negro and Edeline 2002) and Del Negro et al. reported that photoperiod and breeding condition affected song selective neural responses in HVC (Del Negro et al. 2000, 2005). Similar effects were found both on passive and active electrophysiological properties of neurons in the white-crowned sparrow robust nucleus of the arcopallium, but the electrical properties of HVC neurons were stable across seasons (Meitzen et al. 2009b). Seasonal effects may also exist in the caudomedial nidopallium (NCM), a forebrain auditory region (Terleph et al. 2008). Estradiol increases evoked activity in NCM (Tremere et al. 2009), and modulates song-induced expression of the immediate early gene *egr-1* in several auditory nuclei (Maney et al. 2006; Sanford et al. 2009). In addition, a recent study using diffusor tensor imaging suggests that the volume of NCM is larger in breeding condition European starlings (*Sturnus vulgaris*) (De Groof et al. 2009).

Most of this literature has focused on seasonal changes in auditory function in the forebrain. However, seasonal cues may also influence auditory processing at the peripheral level. Seasonal changes at the periphery may be conserved throughout the auditory pathway giving rise to the seasonal effects observed in higher processing centers. In this respect, it is interesting to note that expression of the alpha subtype of the estrogen receptor (ER $\alpha$ ), and aromatase (which catalyzes the synthesis of estrogen from testosterone) were recently reported in the inner ear of zebra finches (Noirot et al. 2009). We have also observed ER $\alpha$  in hair cells and support cells and both ER $\alpha$  and the androgen receptor (AR) in ganglion cells of the inner ear of young chickens and adult white-crowned sparrows (Wang, Brenowitz, Rubel, McCullar, and Oesterle unpublished observations). Lucas et al. (2002) and (2007) examined

seasonal changes in the amplitude and latencies of evoked responses in six different species of birds. Their data suggest that the effect of seasonal cues differs between species, but these authors only measured threshold sensitivity in one species (House sparrow, *Passer domesticus*). Those results were inconclusive, however, due to inadequate sample sizes (Henry and Lucas 2009).

Our study addresses the issue of whether hormonal and photoperiod manipulations that mimic the breeding and non-breeding season affect peripheral auditory processing in Gambel's white-crowned sparrow (*Zonotrichia leucophrys gambelii*), a migratory species with highly seasonal breeding. Auditory brainstem response (ABR) and distortion product otoacoustic emission (DPOAE) recordings were used as a measure of peripheral auditory processing.

The ABR is a short-latency neural response typically emitted 10–15 ms after the presentation of an auditory stimulus (Hall 1992). ABR recording has a long history of use as a diagnostic measure of peripheral and brainstem auditory function in humans and animals (Jewett et al. 1970; Achor and Starr 1980; Despland and Galambos 1980; Liberman et al. 2006), and this method has been used to assess avian auditory function (Corwin et al. 1982; Brown-Borg et al. 1987; Burkard et al. 1994; Woolley et al. 2001; Brittan-Powell et al. 2002; Lucas et al. 2002).

Otoacoustic emissions are low-intensity sounds generated by the compressively nonlinear cochlear amplification process of the inner ear (Kemp 1978, 2002; Probst et al. 1991). In mammals, it is thought that the outer hair cells of the cochlea produce the amplification responsible for emission generation (Dallos 2008; Dallos et al. 2008). Although the exact cellular origin of otoacoustic emission production in non-mammalian vertebrates is currently unknown (Bergevin et al. 2008), DPOAEs can still be effectively used as an indicator of avian inner ear function (Kettembeil et al. 1995; Bergevin et al. 2008).

We report that ABR thresholds were elevated and ABR peak latencies were prolonged in breeding birds, whereas DPOAE amplitudes and thresholds were not affected. Our results show a seasonal effect on auditory thresholds, and suggest that the effect originates post-synaptic to the hair cells.

## Methods

### Subjects

Adult male ( $n = 24$ ) and female ( $n = 24$ ) Gambel's white-crowned sparrows (*Zonotrichia leucophrys gambelii*) were collected during autumn and spring migrations between 2006 and 2008. Most birds were captured in mist nets in eastern Washington State; a small subset was captured in

Davis, California. Birds were housed in outdoor aviaries at the University of Washington for up to 30 weeks before being moved to indoor aviaries. Once inside, all birds were housed in groups on a short-day photoperiod (SD, 8 h light: 16 h dark) for a minimum of 10 weeks to ensure sensitivity to the stimulating effects of hormones and photoperiod (i.e., photosensitive) (Wingfield et al. 1979). Food and water were available ad libitum. All procedures were approved by the Institutional Animal Care and Use Committee at the University of Washington, Seattle.

### Seasonal manipulations

Birds were randomly divided into two groups mimicking breeding and non-breeding conditions. To induce a non-breeding-like condition, birds were housed on a SD photoperiod as above. Birds housed on a SD photoperiod maintain regressed gonads and song nuclei and have basal plasma sex hormone levels typical of the non-breeding season (Wingfield and Farner 1978; Tramontin et al. 2000; Park et al. 2005; Meitzen et al. 2007b). To induce a breeding-like condition, birds were housed on a long day (LD; 20 h light–4 h dark) photoperiod typical of their Alaskan breeding grounds. In addition, these birds were implanted subcutaneously with capsules made from SILASTIC tubing (i.d. 1.0 mm; o.d. 2.0 mm, length 12 mm; VWR, West Chester, PA) filled with crystalline testosterone (males) or estradiol (females) (Tramontin et al. 2003). Implants were rinsed in ethanol and soaked overnight in 0.1 M phosphate buffered saline prior to implantation. Supplemental hormone is necessary to raise plasma hormone levels of laboratory-housed birds to physiological levels observed in breeding birds in the wild (Smith et al. 1995). Birds were housed under these conditions for 3 weeks; this time period is sufficient to induce full breeding-like growth of the song circuits in male white-crowned sparrows (Tramontin et al. 2000; Meitzen et al. 2009a).

### Drugs

Birds were anesthetized with 25% urethane (6  $\mu$ l/g body weight) for all recordings. Body weight (mean  $\pm$  SEM) was  $27.6 \pm 0.64$  g (males) and  $27.7 \pm 0.75$  g (females). The total drug volume was divided evenly into three intramuscular injections separated by 30 min. Additional doses (0.67  $\mu$ l/g) were delivered as necessary to maintain anesthetic state as assessed by toe-pinch.

### Experimental set-up

All experiments took place in an acoustically isolated chamber (Acoustic Systems, Austin, TX) between 10:30

and 15:30. We prepared each bird for ABR or DPOAE recording by removing feathers from the top of the head and surrounding the left ear. We swabbed the skin with alcohol and made a small incision at the anterior portion of the dorsal midline of the skull. The skin was retracted and fascia was removed. We cleaned and dried the skull with alcohol and glued a custom-made metal post to the head. The post was securely mounted on a magnetic stand to prevent head movement. We placed the bird on an electric heating pad and maintained the body temperature at 40–42°C using a cloacal thermal probe and digital controller (TC-1000 Temperature Controller, CWE Inc., Ardmore, PA). For a subset of birds, we placed subcutaneous needle electrodes in the left wing and right leg to monitor electrocardiogram activity throughout the experiment. An electrode in the left leg served as a single-point ground for both the electrocardiogram (when recorded) and the ABR recordings described below. We amplified electrocardiogram signals 1000x (Grass Technologies P15, West Warwick, RI), band-pass filtered them at 100–1,000 Hz and displayed them on a digital oscilloscope. The output of a small speaker (Etymotics ER-2B, Elk Grove Village, IL) and microphone (Etymotics ER-10B, Elk Grove Village, IL) were enclosed within a custom-made sound delivery tube affixed to a micromanipulator. We positioned the tube flush against the skull surrounding the left external auditory meatus, and sealed it with petroleum jelly, creating a closed sound delivery system.

At the beginning of each recording session, we calibrated dB SPL values (re: 20  $\mu$ Pa) on-line using a custom written software program. Click stimuli were presented in dB peak equivalent (pe) SPL. Unless otherwise noted, all pure tones were 10 ms in duration with 2 ms rise–fall times. Clicks were 0.1 ms in duration. We presented all stimuli with alternating polarity. We generated sound stimuli using custom software and delivered them one of two ways. We routed some stimuli through a Delta-44 digital/analog converter (M-Audio, Irwindale, CA), fed them through a PA5 programmable attenuator (Tucker Davis Technologies, Alachua, FL) and delivered them directly to the speaker. We routed the remaining stimuli through an RX6 (Tucker Davis Technologies, Alachua, FL) multifunction processor that performed both digital/analog conversion and attenuation of the signal before delivery to the speaker.

#### ABR recording

We recorded ABRs using standard subcutaneous needle electrodes. We placed the positive electrode at the vertex of the skull and positioned the reference electrode just dorsal to the (sound stimulated) external auditory meatus. We pre-amplified responses 100x (Grass Technologies P15

amplifier, West Warwick, RI) ran them through a MA3 amplifier with an additional 50 dB post-preamp gain (Tucker Davis, Technologies, Alachua, FL), band-pass filtered them from 300 to 3,000 Hz with a 24 dB/octave roll-off (Krohn-Hite filter model 3550, Brockton, MA) fed them through a digital oscilloscope and audio monitor, digitized them at a rate of 24.400 kHz, and recorded them using a custom written software program. We sampled responses for a 20 ms window (with a 2 ms stimulus onset delay) or a 30 ms window (with a 10 ms stimulus delay). We used ABR recording in four different paradigms, each of which explored a different aspect of auditory processing. The rationale and methodological details for each paradigm are described below.

#### *Minimum audibility paradigm*

We used a total of 17 females (8 non-breeding, 9 breeding) and 24 males (13 non-breeding, 11 breeding) in this paradigm. A subset of these birds also participated in other ABR paradigms and/or DPOAE recording. One non-breeding female was housed in a rooftop aviary up until the day of recording in mid-November, and, was, therefore exposed to day length changes natural to Washington State. Data from this female fell within the range of data from non-breeding females that were housed indoors; so, we included them in the subsequent analysis.

The purpose of this experiment was to determine whether breeding condition affects basic ABR parameters (i.e. thresholds and response latencies). We tested seven different frequencies (0.5, 1, 2, 3, 4, 6, 8 kHz) within the hearing range of most songbirds, and completely encompassing the spectrum of white-crowned sparrow song (Dooling et al. 2000; Meitzen et al. 2009a). We presented each stimulus at a rate of 19.6 s<sup>-1</sup> starting at 70 dB SPL and decreased the amplitude in 10 dB steps; at or near threshold, we switched to 5 dB intervals. We averaged responses to suprathreshold stimuli across 500 stimulus presentations; for responses at or near threshold, we averaged across 1,000 stimulus presentations and recorded the ABR at least twice to determine repeatability. We randomized stimulus presentation order for each subject.

We analyzed all ABR responses offline using custom written software. We defined threshold as the lowest intensity stimulus to elicit a repeatable, visually discernable response of any ABR wave within 10 ms of stimulus onset. To verify threshold estimates, we took two approaches. First, we gave a blind observer trained in audiology a subset (10%) of responses that represented all stimuli and conditions tested. We instructed the observer to estimate the threshold visually, using any ABR wave readily observable. Threshold estimates by one of us (MLC) and the blind observer were highly correlated (Pearson's

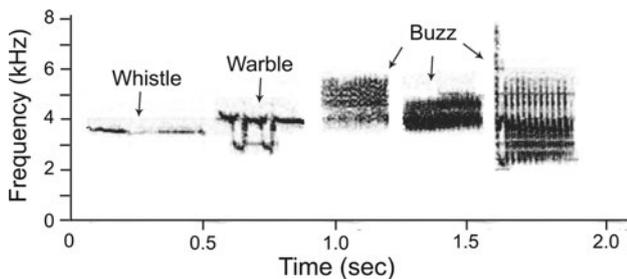
correlation coefficient  $r = 0.989$ ,  $p < 0.01$ , Supplementary Fig. 1a). Second, we used a quantitative approach to estimate threshold for the same subset of data. For this approach, a custom written software program automatically detected the largest peak-to-peak voltage difference in a 10 ms window after stimulus onset. Offline, we calculated the maximum peak-to-peak voltage +2 standard deviations in the pre-stimulus window. Threshold was defined as the lowest stimulus intensity tested that elicited a post-stimulus measurement greater than the pre-stimulus measurement. Quantitative and visually estimated thresholds were significantly correlated (Pearson's  $r = 0.941$   $p < 0.0001$ , Supplementary Fig. 1b). We conclude that visually determined thresholds are valid, representative estimates of auditory sensitivity and therefore present only these data for the remainder of this report.

We determined latency values from the time of stimulus onset for the first two positive peaks numbered sequentially with Arabic numerals as in Brittan-Powell et al. (2005). We generated latency input/output (I/O) functions for each subject and compared latencies across subjects at an iso-intensity level (70 dB SPL). Because many factors can independently affect ABR amplitude measurements (such as head size and electrode placement), we did not compare them in this study.

*Forward masking frequency resolution paradigm*

Because findings from the minimum audibility paradigm suggested that seasonal and hormonal effects on auditory processing are similar in male and female white-crowned sparrows (see "Results"), we only used males for the additional ABR paradigms described below. We used a total of 11 males (5 non-breeding, 6 breeding) in this experiment. All of these males also participated in the other ABR paradigms.

Breeding condition may affect aspects of auditory processing that are independent of ABR thresholds and wave peak latencies. To determine which other auditory processing parameters were worth closely investigating, we

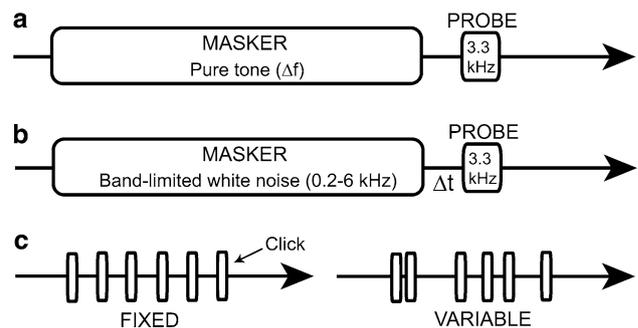


**Fig. 1** A representative white-crowned sparrow song from a breeding condition male. Songs typically consist of 5 syllables: a whistle, a warble, and three buzzes

examined the structure of the white-crowned sparrow song. Males produce a single song type, consisting of five syllables: a whistle, a warble, and three buzzes (DeWolfe et al. 1974; Meitzen et al. 2009a, Fig. 1). The introductory whistle is a pure tone, the frequency of which does not change seasonally (Meitzen et al. 2009a); many studies suggest that it plays a particularly important role in song identification and learning (Baptista and Morton 1981; Margoliash 1983; Soha and Marler 2000). These findings led us to hypothesize that breeding condition may influence the ability of white-crowned sparrows to resolve the frequency of the introductory whistle.

To address this possibility, we used a forward-masking paradigm to examine the effect of breeding condition on ABR-derived frequency tuning curves. We set a 10 ms probe tone [3.3 kHz, roughly equivalent to the fundamental frequency of the white-crowned sparrow whistle (Meitzen et al. 2009a)] to a fixed amplitude of 70 dB SPL. Masker stimuli (2.50, 2.70, 2.90, 3.10, 3.20, 3.25, 3.35, 3.40, 3.50, 3.70 and 3.90 kHz) were 100 ms long with 16 ms rise–fall times. We presented the masker, after a 10 ms onset delay (to allow for baseline noise capture). The onset of the probe tone occurred 10 ms after the offset of the masker (Fig. 2a). We presented each masker–probe combination at a rate of 6.2 s<sup>-1</sup>. We captured the elicited ABR during a 140 ms recording window and averaged it across 500 masker–probe presentations.

At the beginning of each recording, we presented the probe tone alone at 70 dB SPL, which was approximately 20–40 dB above threshold for every subject. We calculated the maximum peak-to-peak voltage difference of the ABR response online for a 10 ms measurement window



**Fig. 2** Stimulus delivery schematics for three of the ABR paradigms. Horizontal arrows indicate passage of time. **a** Schematic for the forward-masking frequency resolution paradigm. A 100 ms pure tone masker is varied in frequency ( $\Delta f$ ). The offset of the masker always occurs 10 ms before the onset of 3.3 kHz probe tone. **b** Schematic for the forward-masking temporal adaptation paradigm. The offset of a 100 ms band-limited (0.2–6 kHz) white-noise masker occurs at varying time intervals ( $\Delta t$ ) before the onset of the 3.3 kHz probe tone. **c** Schematic for the temporal variability paradigm. Clicks were presented at three different rates, with both fixed (*left*) and variable (*right*) inter-peak intervals

(starting at the time of probe onset). After obtaining this baseline response amplitude, we began masker–probe trials. In each trial, we presented the masker at an initial amplitude of 40 dB SPL and gradually raised the amplitude by 10 dB steps. We defined threshold as the masker level necessary to reduce the maximum peak-to-peak voltage in the 10 ms measurement window by 50% or more. We verified threshold by repeating the masker–probe presentation. If two out of three repetitions failed to verify the threshold estimation, we raised the masker amplitude by 5 dB until a new threshold was verified. We randomized the order of masker frequency presentations for each subject.

We generated tuning curves offline for each subject and used the quality factor ( $Q_{10}$ ) as an indicator of sharpness of tuning.  $Q_{10}$  is calculated as the signal (probe) frequency divided by the bandwidth of the tuning curve at 10 dB above the tip. Larger  $Q$  values indicate sharper tuning.

#### *Forward masking temporal adaptation paradigm*

We used a total of 11 males (5 non-breeding, 6 breeding) in this paradigm. All of these males also participated in the other ABR paradigms.

White-crowned sparrows exhibit seasonal changes in the duration of some song syllables and in the length of the overall song (Meitzen et al. 2009a). These findings raise the possibility that temporal processing also changes seasonally. To address this possibility, we used a forward-masking paradigm to examine the effect of breeding condition on temporal adaptation capabilities. We set a 10 ms probe tone (3.3 kHz) to a fixed amplitude of 70 dB SPL as above. The masker stimulus was band-pass filtered white-noise (0.2–6 kHz) with a 100 ms duration and 16 ms rise–fall times. We presented the masker after a 10 ms onset delay. The onset of the probe tone occurred 5, 10, 25 or 50 ms after the offset of the masker (Fig. 2b). Masker–probe presentations occurred at a rate of  $4.9 \text{ s}^{-1}$ . We captured the elicited ABR during a 161 ms recording window and averaged them across 500 masker–probe presentations.

At the beginning of each recording, we presented the probe tone alone and calculated the maximum peak-to-peak voltage difference online for a 10 ms measurement window as above (starting at the time of probe onset). We then presented the masker at amplitudes of 40, 50, 60 and 70 dB SPL for each masker–probe interval. We randomized the order of masker–probe interval presentations for each subject.

We calculated the decrease in the probe-elicited ABR response amplitude for each masker amplitude and masker–probe interval as follows:

#### *Response decrease*

$$= [(baseline \text{ amplitude} - response \text{ amplitude}) / \times baseline \text{ amplitude}] \times 100\%$$

The more the response amplitude decreases, the greater the effect of the masker.

#### *Temporal variability paradigm*

We used a total of 10 males (4 non-breeding, 6 breeding) in this paradigm. All these males also participated in the other ABR paradigms.

In addition to seasonal changes in syllable and song length, white-crowned sparrows also exhibit seasonal fluctuations in song structure variability. During the breeding season, song and syllable duration are less variable than in the non-breeding season (Meitzen et al. 2009a) suggesting that breeding condition may affect other aspects of temporal processing more directly related to temporal variability. To address this issue, we presented clicks (0.1 ms duration) at three presentation rates (19.6, 30.3 and  $23.2 \text{ s}^{-1}$ ). Rates were either fixed (such that the inter-click interval was constant) or variable (such that the inter-click interval was randomized, but the average rate over the course of all click presentations equaled 19.6, 30.3 or  $23.2 \text{ s}^{-1}$ ; see Fig. 2c).

We initially presented clicks at 70 dB SPL and gradually decreased the amplitude by 10 dB; at or near threshold, we switched to 5 dB intervals. We averaged responses to suprathreshold stimuli across 500 stimulus presentations; at or near threshold we averaged across 1,000 stimulus presentations and recorded the ABR at least twice to determine repeatability. We randomized the order of presentation rates for each subject. We determined thresholds visually and measured wave peak latencies offline (as described for the minimum audibility paradigm).

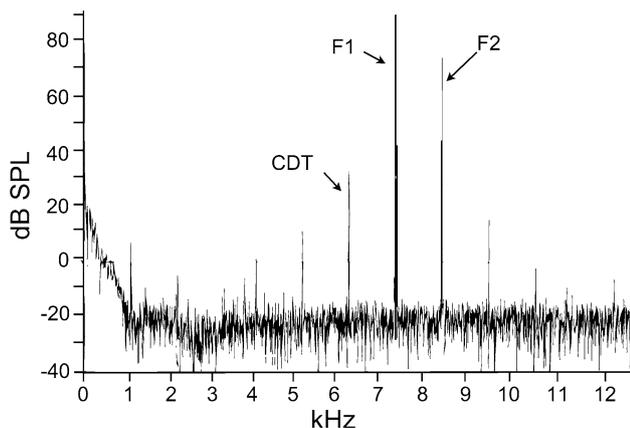
#### *DPOAE recording*

Differences in auditory processing as measured by ABR recording could reflect changes in the VIIIth nerve and/or brainstem, or could reflect changes in sensory processing prior to neuronal activation at the hair cell–ganglion cell synapse (i.e. changes in the external ear canal, middle ear, or inner ear mechanics). To dissociate these possibilities, we recorded DPOAEs from 10 males (5 non-breeding, 5 breeding) and 12 females (6 non-breeding, 6 breeding). Five of the females (2 non-breeding, 3 breeding) and all of the males also participated in the ABR minimum audibility paradigm.

Sounds were delivered as described for ABR recording. Two primary tones (F1 and F2) were presented

simultaneously. The frequency of F2 varied (0.5, 1.0, 1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.5, 5.0, 5.5, 6.0, 6.5, 7.0, 7.5, 8.0, 8.5, 9.0, 9.5 kHz), but we fixed the F2/F1 ratio at 1.15. We determined this ratio value to be optimal for white-crowned sparrows during pilot studies (data not shown). We initially presented the first primary tone (F1) at an amplitude (L1) of 20 dB SPL and systematically increased the amplitude by 5 dB steps to a maximum of 90 dB SPL. The amplitude of the second primary tone (L2) was consistently 10 dB lower than L1. The cubic distortion tone, which corresponds to a frequency of  $2F_1 - F_2$ , is the largest distortion product generated, and was, therefore, the focus of this study. The presentation order of stimulus frequencies was randomized for each subject.

We measured the amplitude (dB SPL) of the DPOAE for each tone presentation (Fig. 3). In addition to absolute amplitude, we estimated DPOAE thresholds for six different F2 frequencies that were also used in the ABR minimum audibility paradigm (1, 2, 3, 4, 6, and 8 kHz). We defined threshold as the lowest L1 amplitude (dB SPL) that met the following three criteria: (1) the amplitude of the DPOAE was at least 3 dB above the immediately surrounding noise floor. (2) The difference between L1 and DPOAE amplitudes did not exceed 85 dB (this criterion was formed from offline determinations of instrumental and cavity distortions). (3) The next two DPOAE measurements (elicited by 5 and 10 dB increases in L1, respectively) also fit the first two criteria.



**Fig. 3** Representative frequency spectrum of a DPOAE recording from a breeding condition female. The primary tones (F1 and F2) were presented at the highest amplitudes ( $L_1 = 90$  dB SPL) to enable clear observation of the multiple distortion products. The distortion product with the largest amplitude is the cubic distortion tone (CDT), which corresponds to a frequency of  $2F_1 - F_2$ . F1 and F2 in this example are 7.4 and 8.5 kHz, respectively, and the CDT is 6.3 kHz

## Hormone measurement

At the end of each recording session, we rapidly decapitated subjects and removed basilar papillae for histological processing for a separate study. We collected trunk blood in heparinized tubes and immediately centrifuged it. We separated the plasma and stored it at  $-80^{\circ}\text{C}$  until enzyme-linked immunosorbent assay (ELISA). Testosterone and estradiol concentrations were measured using standard kits (Assay Designs, Ann Arbor, MI) and compared with those measured in the wild (Wingfield and Farner 1978).

## Assay validation

We used a testosterone immunoassay kit (Assay Designs catalog # 900-065) previously validated for the congeneric white-throated sparrow (*Zonotrichia albicollis*) (Swett and Breuner 2008). No publications reporting the use of the estrogen kit (Assay Designs catalogue # 900-174) in any avian species were found. We, therefore, validated the use of this kit for white-crowned sparrows. We pooled plasma samples from multiple sparrows and stripped the plasma of steroids with dextran-coated charcoal in assay buffer (Sigma–Aldrich, St. Louis, MO). We spiked stripped plasma with estradiol to  $\sim 19.6$  ng/ml and assayed a serial dilution of the spiked plasma. The serial dilution paralleled the kit's standard curve, indicating that endogenous protein elements in white-crowned plasma do not substantially interfere with hormone measurement.

## Immunoassay procedures

We followed the kit instructions to determine testosterone or estradiol levels of experimental subjects. Briefly, we added steroid displacement buffer (1% of raw plasma volume) to each plasma sample, brought the total volume to 200  $\mu\text{l}$  with assay buffer and vortexed. Because LD+ testosterone conditions can generate plasma testosterone levels beyond the highest range of the kit's detectability (2 ng/ml), a 1:20 dilution of each LD+ testosterone sample was made with assay buffer. We ran 100  $\mu\text{l}$  aliquots of each sample (or LD dilution) in duplicate along with either five testosterone standards (0.008–2.000 ng/ml) or 6 estrogen standards (0.0293–30.00 ng/ml). We incubated each sample with 50  $\mu\text{l}$  of steroid antibody and alkaline phosphatase-conjugated steroid, emptied and washed all sample wells and added 200  $\mu\text{l}$  of substrate. After adding the stop solution (50  $\mu\text{l}$ /well), we read the plate immediately on a Dynex MRX II microplate reader (Chantilly, VA) at 405 nm.

We analyzed samples from subjects tested in the DPOAE paradigm in separate assays from those tested only in the ABR paradigms. The minimum detectable plasma

testosterone concentrations were  $5.72 \times 10^{-4}$  ng/ml (ABR tested) and  $3.17 \times 10^{-3}$  ng/ml (DPOAE tested); minimum estradiol concentrations were  $1.91 \times 10^{-2}$  ng/ml (ABR tested) and  $5.15 \times 10^{-2}$  ng/ml (DPOAE tested). Intra-assay variabilities for testosterone measurement were 5.99% (ABR tested) and 5.90% (DPOAE tested); intra-assay variabilities for estradiol measurement were 9.23% (ABR tested) and 7.84% (DPOAE tested). Inter-assay variabilities were 22.4% (testosterone) and 21.7% (estradiol).

One male (ABR) sample fell below the detection limit of the assay. For statistical analysis, we multiplied the detection limit ( $5.72 \times 10^{-4}$  ng/ml) by the dilution factor of the sample in question (2.5). We used the resulting value ( $1.43 \times 10^{-3}$  ng/ml) for subsequent analysis. One male sample was too concentrated to be detected by the assay, even after a 1:20 dilution. In this case, the concentration of the highest standard (2.0 ng/ml) was multiplied by the dilution factor (20) to give a result of 4.0 ng/ml. All female samples fell within the range of the estradiol assay; however, blood samples were lacking for two females that we used to optimize the DPOAE recording parameters.

## Statistics

We made all comparisons with three-way or two-way mixed-model ANOVA (sex  $\times$  breeding condition  $\times$  stimulus frequency/stimulus level) or independent samples *t* test. All statistical analyses were made using PASW Statistics 18.0 for Mac (Chicago, IL). Data in all figures are presented as mean  $\pm$  SEM.

## Results

### Plasma hormone levels

Male and female birds housed under breeding (LD+ testosterone or LD+ estradiol) conditions had significantly higher plasma testosterone or estradiol levels than those housed under non-breeding (SD) conditions. Table 1 shows that testosterone levels from males housed under LD+ testosterone were comparable to those observed in

breeding condition males in the wild (Wingfield and Farner 1978). Estradiol levels from LD+ estradiol females, however, were higher than the physiological range of wild females in breeding condition ( $4.919 \pm 0.726$  vs.  $0.300$ – $0.400$  ng/ml Wingfield and Farner 1978).

## ABR

### Minimum audibility paradigm

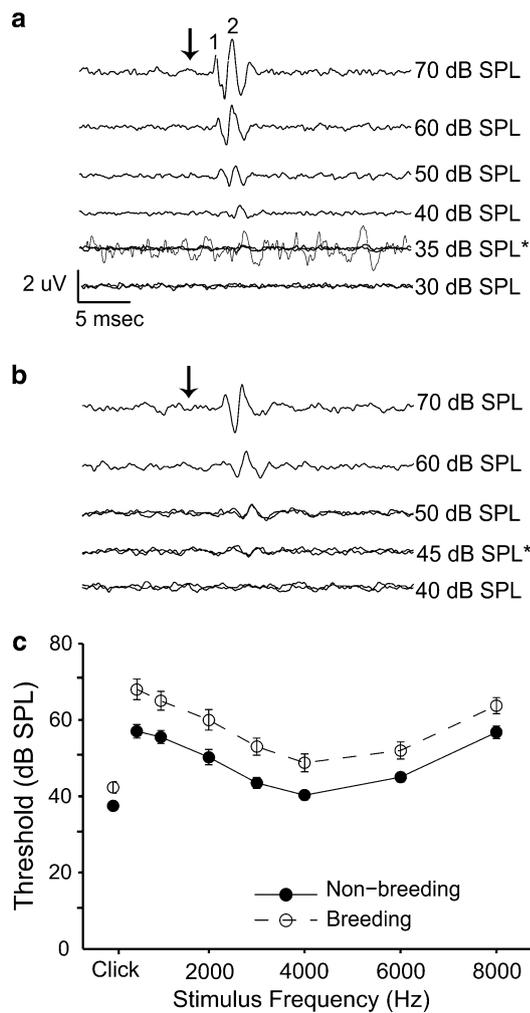
ABR thresholds were significantly affected by breeding condition and stimulus frequency. Males and females had similar overall ABR thresholds (mean  $\pm$  SEM,  $51.6 \pm 0.92$  vs.  $53.5 \pm 1.07$  dB SPL, respectively [ $F(1,35) = 0.279$ ,  $p = 0.601$ ]); we therefore pooled their data for analysis. Figure 4 shows representative averaged responses to a 4.0 kHz tone from a non-breeding female (4a) and a breeding female (4b). In these examples, we judged threshold to be 35 dB SPL (4a) and 45 dB SPL (4b). Figure 4c shows group data for thresholds to clicks and tone bursts at 0.5, 1, 2, 3, 4, 6 and 8 kHz. Stimulus frequency significantly affected threshold estimates, with birds showing maximal sensitivity to clicks and 4 kHz pure tones [ $F(7,245) = 70.54$ ,  $p < 0.001$ ]. Thresholds in white-crowned sparrows housed under breeding-like conditions were higher by 3.90–12.3 dB (average 8.23 dB) than in birds housed under non-breeding-like conditions. The effect of breeding condition was significant [ $F(1,35) = 12.99$ ,  $p = 0.001$ ]. No significant interactions were found between any of the independent variables (sex, stimulus frequency, or breeding condition; all  $p > 0.05$ ).

Many ABR peak latency values were not measurable at 70 dB SPL, either because of high thresholds at the extremes of the stimulus frequencies tested (500 and 8,000 Hz) or because of elevated thresholds in breeding condition birds. As a result, the missing values (11.5% of the total number of data points) were not randomly distributed among the data set (see Supplementary Table 1 for more detailed information). A biased sample of missing data can confound statistical analyses and obscure interpretation of the results. Therefore, we calculated average peak latency values for each stimulus and experimental group (breeding males, non-breeding males, breeding females, and non-breeding females). Missing data points

**Table 1** Plasma testosterone and estradiol levels (mean  $\pm$  SEM ng/ml)

	Non-breeding	Breeding	<i>t</i> <sup>a</sup>	<i>P</i>
Plasma testosterone	$0.496 \pm 0.146$ ( $n = 13$ )	$13.95 \pm 2.970$ ( $n = 11$ )	2.07	<0.0001
Plasma estradiol	$1.143 \pm 0.243$ ( $n = 11$ )	$4.919 \pm 0.726$ ( $n = 11$ )	2.09	<0.0001

<sup>a</sup> Independent samples *t* test (two tailed)

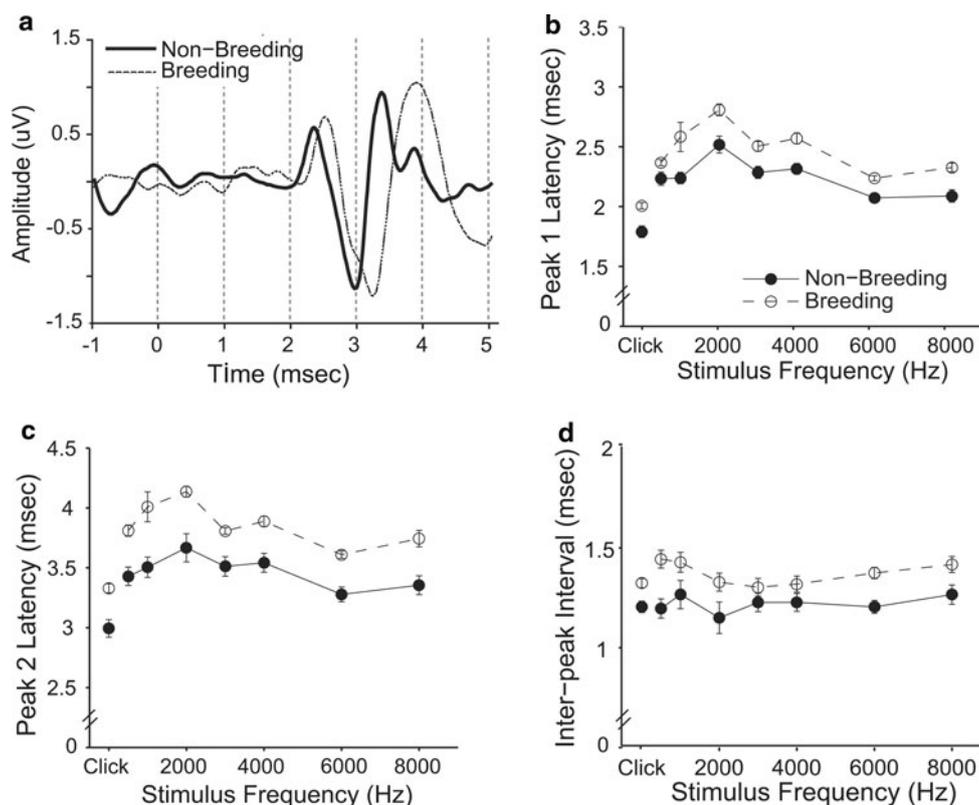


**Fig. 4** Birds housed under breeding-like conditions have higher auditory thresholds than those housed under non-breeding-like conditions. **a** Representative ABRs decrease in amplitude and increase in latency as stimulus intensity is decreased. Traces were elicited by a 4,000 Hz tone from a non-breeding female. The top four traces represent averages of 500 stimulus presentations. 35 and 30 dB SPL traces represent averages of 1,000 presentations. The *black arrow* indicates stimulus onset. *Scale bars* 2  $\mu$ V/5 ms. Threshold was estimated to be 35 dB SPL and is indicated by the *asterisk*. One trace elicited by a 35 dB SPL stimulus is enlarged and shown over the original traces to more clearly demonstrate a response. For this trace only, the *scale bar* 0.3  $\mu$ V/5 ms. **b** Representative ABR traces from a breeding female demonstrate an elevated threshold. Experimental parameters and figure notations are as in **a**. The top two traces represent averages from 500 stimulus presentations; the remaining traces were averaged over 1,000 presentations. Threshold was estimated at 45 dB SPL. *Scale bar* is the same for **a** and **b**. **c** Mean  $\pm$  SEM ABR thresholds of birds exposed to breeding-like conditions (*open circles*) are higher than those housed under non-breeding-like conditions (*closed circles*) across all stimulus frequencies. Data are presented linearly (rather than logarithmically) for clarity. Thresholds to clicks are shown at the left most portion of each graph and are measured in dB peak equivalent (pe) SPL. Each experimental group had an  $n = 20$  (except for clicks, where breeding birds  $n = 21$ )

were filled in with the appropriate mean values and the completed dataset was analyzed by ANOVA, as above. ABR peak latencies were significantly affected by breeding condition and stimulus frequency. Males and females had similar latency values for peak 1 (mean  $\pm$  SEM,  $2.28 \pm 0.02$  vs.  $2.34 \pm 0.03$  ms, respectively) and peak 2 ( $3.55 \pm 0.031$  vs.  $3.65 \pm 0.04$  ms). The effect of sex was not significant (peak 1 [ $F(1,35) = 0.694, p = 0.411$ ]; peak 2 [ $F(1,37) = 0.887, p = 0.353$ ]) we therefore pooled their data for analysis. Figure 5a shows representative ABR traces from a breeding and non-breeding female overlaid. Peak latencies from the breeding bird were delayed relative to the non-breeding bird. Group data for peak latencies generated by 70 dB SPL clicks and pure tone bursts are shown in Fig. 5b, c. Latency values depended on stimulus frequency, with clicks evoking the lowest values. The effect of frequency was highly significant for both peak 1 [ $F(7,259) = 63.40, p < 0.001$ ] and peak 2 [ $F(7,259) = 31.52, p < 0.001$ ]. The differences between breeding and non-breeding peak 1 latencies ranged from 0.135 to 0.349 ms (average 0.233 ms); peak 2 latencies differed by more (range 0.286–0.513 ms, average 0.378 ms). The effect of breeding condition was statistically significant across all stimuli tested (peak 1 [ $F(1,37) = 18.94, p < 0.001$ ]; peak 2 [ $F(1,37) = 26.06, p < 0.001$ ]). A significant interaction between stimulus frequency and sex was observed for peak 1 latency values [ $F(7,259) = 2.846, p = 0.007$ ]; no other interactions were found (all  $p > 0.05$ ).

Breeding condition also affected inter-peak intervals (Fig. 5d). Males and females had similar inter-peak intervals (mean  $\pm$  SEM,  $1.28 \pm 0.02$  vs.  $1.31 \pm 0.02$  ms, respectively). The effect of sex was not significant [ $F(1,37) = 0.557, p = 0.460$ ]; we again pooled their data for analysis. Birds in breeding condition had longer inter-peak intervals than birds in non-breeding condition. These differences ranged from 0.067 to 0.256 ms (average 0.146 ms) and were statistically significant [ $F(1,37) = 17.09, p < 0.001$ ]. While stimulus frequency did not affect inter-peak intervals independently [ $F(7,259) = 1.681, p = 0.114$ ], a significant interaction was found between all three independent variables (stimulus frequency, breeding condition and sex) [ $F(7,259) = 2.747, p = 0.009$ ].

These data suggest that seasons and hormones affect peripheral auditory sensitivity. One possible concern was that these findings reflected group differences in baseline noise levels, rather than differences in sensory processing per se. High baseline noise levels could cause difficulty in the extraction of responses at low stimulus amplitudes, leading to higher threshold estimates. To examine this possibility, we calculated the root mean square deviation



**Fig. 5** Birds housed under breeding-like conditions have longer ABR peak latencies and inter-peak intervals than those housed under non-breeding-like conditions. **a** Representative ABR traces from a breeding (*thin line*) and non-breeding (*thick line*) female in response to a 4 kHz tone. Traces are aligned in time and stimulus onset occurs at time zero. The breeding bird has a delayed response as compared to the non-breeding bird; note that this temporal disparity increases between peaks 1 and 2. **b** Peak 1 latencies of birds exposed to

breeding-like conditions (*open circles*) are longer than those housed under non-breeding-like conditions (*closed circles*). The same pattern was observed for peak 2 latencies (**c**) and inter-peak intervals (**d**). Data are mean  $\pm$  SEM generated in response to iso-intensity tones (70 dB SPL) and clicks (70 dB pe SPL). Missing data points were filled in with appropriate group averages before data were plotted and analyzed (see main text). Breeding birds  $n = 19$ ; non-breeding birds  $n = 20$

(RMSD) of the voltage values during the first 2 ms of the pre-stimulus window for each response. A large RMSD value indicates greater variability in the pre-stimulus window, reflecting a higher baseline noise level. We averaged RMSD values across all responses to obtain a single value for each bird. We then compared these values as a function of breeding condition.

We only used responses averaged over 1,000 stimulus presentations for this analysis. We chose this selection criterion because the effect of baseline noise on threshold detection was of primary interest and all traces at or near threshold were averaged over 1,000 stimulus presentations. Birds in breeding and non-breeding condition had similar RMSD values (mean  $\pm$  SEM,  $0.077 \pm 0.025$  vs.  $0.073 \pm 0.022$   $\mu$ V, respectively) that did not differ statistically [ $F(1,37) = 0.093$ ,  $p = 0.762$ ]. The results of this analysis suggest that seasonal and hormonal differences in ABR thresholds and latencies and reflect differences in auditory sensitivity at the level of the inner ear and/or central nervous system.

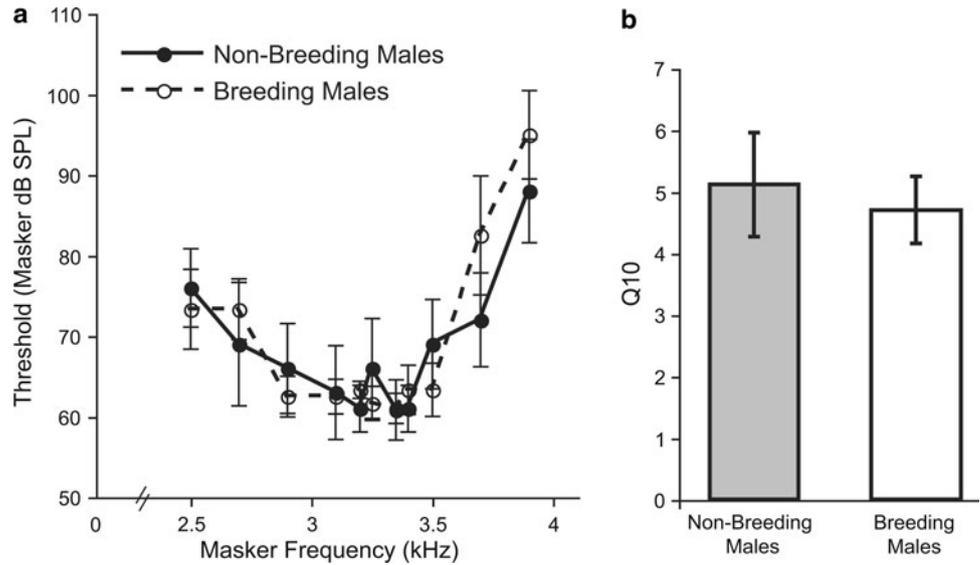
#### Forward masking frequency resolution paradigm

Breeding condition did not affect frequency tuning. Figure 6a shows averaged frequency tuning curves from breeding males and non-breeding males. The curves show substantial overlap at all masker frequencies tested and did not differ statistically [ $F(1,9) = 0.057$ ,  $p = 0.817$ ]. We calculated and compared  $Q_{10}$  values for each subject to determine whether tuning sharpness varied as a function of breeding condition. Average  $Q_{10}$  values are shown in Fig. 6b. Breeding males and non-breeding males had similar  $Q_{10}$  values (mean  $\pm$  SEM, breeding  $4.70 \pm 0.54$ ; non-breeding  $5.21 \pm 0.84$ ). A two-sample  $t$  test revealed no significant difference between the groups ( $t = 2.447$ ,  $p = 0.651$ ).

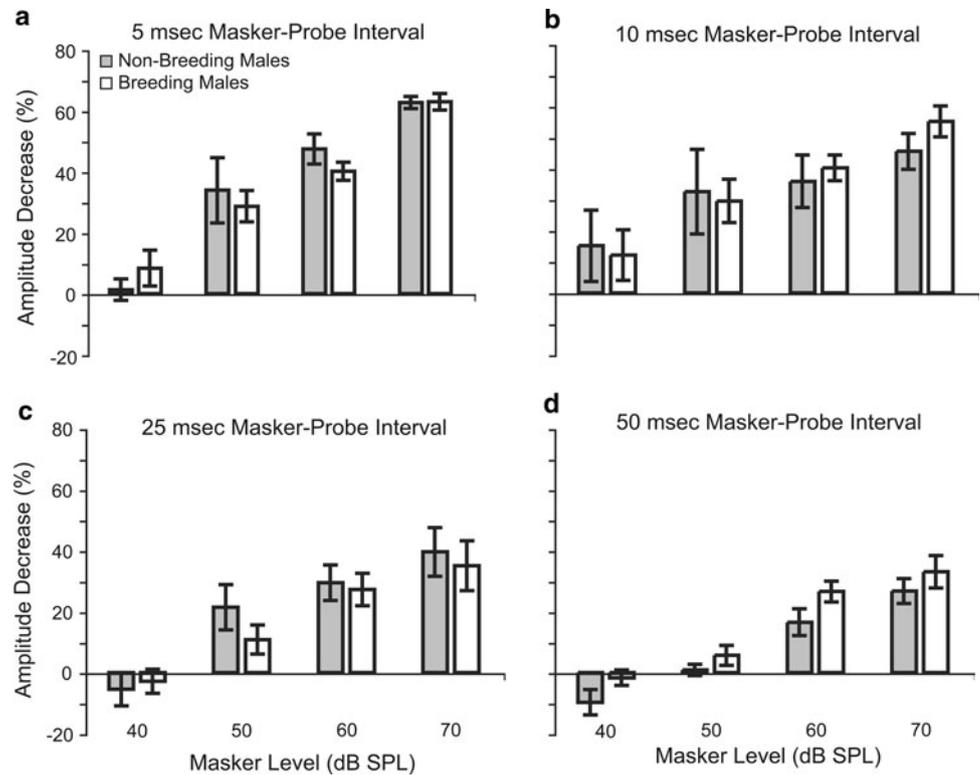
#### Forward masking temporal processing paradigm

Breeding condition did not affect temporal adaptation. Figure 7a–d shows the percent decrease of the probe

**Fig. 6** Breeding condition does not affect frequency tuning. **a** Thresholds for a 3.3 kHz probe tone in a forward-masking paradigm are similar for breeding males (*open circles*  $n = 5$ ) and non-breeding males (*closed circles*  $n = 5$ ) across all masker frequencies. **b** Average  $Q_{10}$  values (indicative of tuning sharpness) did not differ between breeding males (*open bar*) and non-breeding males (*shaded bar*). One subject in each group had tuning curves too broad to accurately measure  $Q_{10}$ . Thus,  $n = 4$  for each group in **b**



**Fig. 7** Breeding condition only affects temporal adaptation at the longest masker-probe interval tested. **a** The probe-elicited ABR response amplitude decreases by a similar amount for males in breeding (*open bars*) and non-breeding (*shaded bars*) conditions when the masker and probe are separated by 5 ms. Similar results were found for **b** 10 ms and **c** 25 ms masker-probe intervals. **d** When the masker and probe are separated by 50 ms, breeding males show a significantly greater decrease in response amplitude than non-breeding males. Data are mean  $\pm$  SEM. Breeding males  $n = 6$ ; non-breeding males  $n = 5$  (except  $n = 4$  at 40 and 50 dB SPL masker levels for 10, 25 and 50 ms intervals, and 40 dB SPL masker level for 5 ms interval)

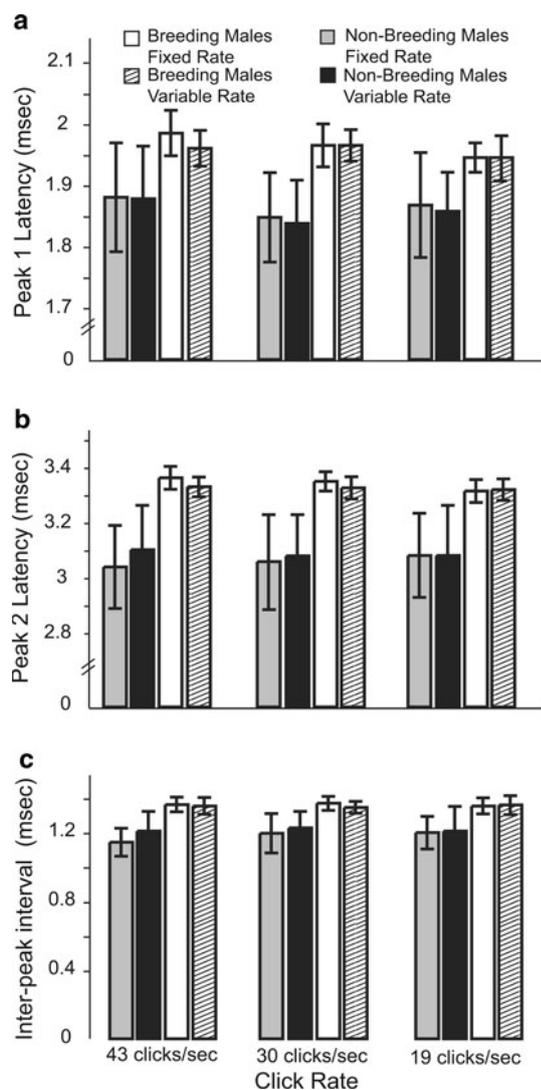


response as a function of masker level (40, 50, 60 and 70 dB SPL) for four different masker-probe intervals (5, 10, 25 and 50 ms). Response amplitudes of breeding males and non-breeding males decrease by similar amounts across all masker levels for 5, 10, and 25 ms masker-probe intervals (Fig. 7a–c). Separate two-way ANOVAs revealed no effect of breeding condition for these three intervals (all  $p > 0.4$ ). At the longest masker-probe interval, however, breeding condition did affect the amount by which response amplitudes decreased (Fig. 7d). Response

amplitudes of males in breeding condition decreased an average of 5.84% more than males in non-breeding condition when the masker and probe were separated by 50 ms. This difference was significant [ $F(1,8) = 6.867$ ,  $p = 0.031$ ].

*Temporal variability paradigm*

Breeding condition did not affect processing of temporally variable stimuli. Figure 8a–c shows the peaks 1, 2, and



**Fig. 8** Breeding condition does not affect processing of temporally variable stimuli. Clicks were presented at three rates with both fixed and variable inter-click intervals. **a** Non-breeding males show similar peak 1 latencies to fixed (gray bars) and variable (black bars) inter-click intervals for all presentation rates tested. Although breeding males showed a trend towards longer latencies in general, their responses to fixed (open bars) and variable (striped bars) stimuli were also similar. Similar results were found for peak 2 latencies (**b**) and inter-peak intervals (**c**). Data are mean  $\pm$  SEM. Breeding males  $n = 6$ , non-breeding males  $n = 4$

inter-peak latencies, respectively, as a function of click rate. Fixed and variable rates elicited similar latencies from breeding and non-breeding males. Separate two-way ANOVAs revealed no effect of temporal variability on peaks 1, 2 or inter-peak latencies (all  $p > 0.2$ ).

As expected from the latency analyses presented above, breeding males showed a trend of longer peak latencies and inter-peak intervals than non-breeding males. These differences did not reach significance, however, probably due to small sample sizes ( $n = 4-6$ ).

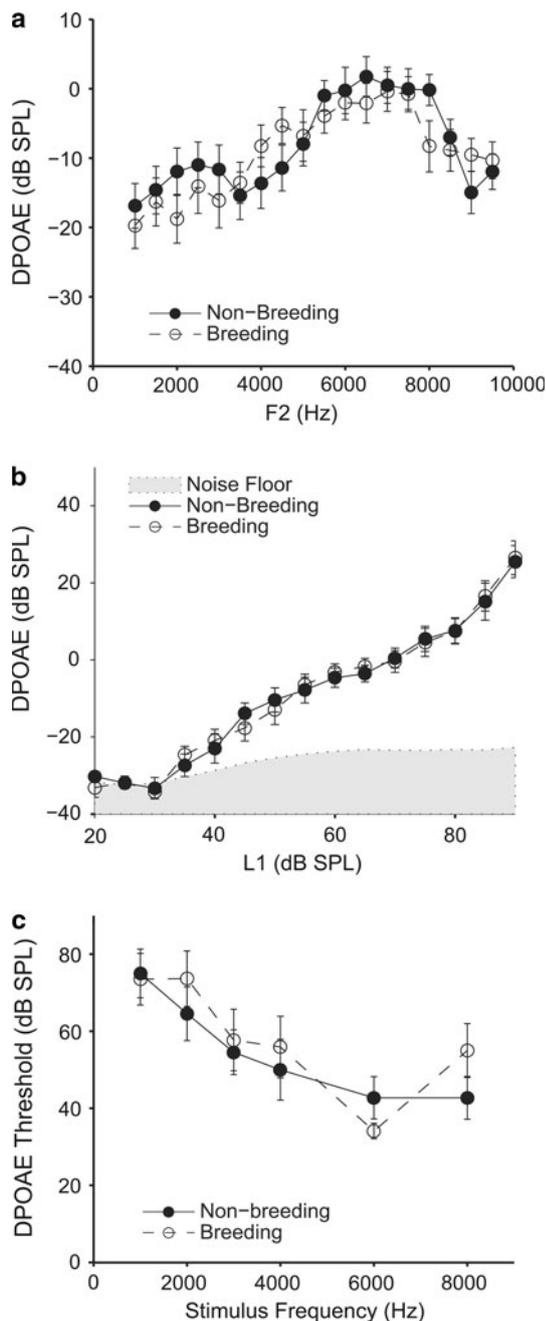
## DPOAE

The results from the ABR study indicated that breeding condition affects auditory sensitivity. To determine whether the effect could be explained by processing changes at levels prior to synaptic responses, DPOAEs were elicited by a range of frequencies (1–9.5 kHz) from males and females in breeding and non-breeding conditions.

Breeding condition and sex did not affect iso-intensity DPOAE amplitudes. DPOAE amplitudes of males and females were similar overall, and did not differ significantly [ $F(1,15) = 1.181$ ,  $p > 0.20$ ]; we therefore pooled their data for analysis. Figure 9a shows DPOAE amplitudes as a function of the second primary (F2) frequency. These DPOAEs were elicited while L1 was held constant at 70 dB SPL. DPOAE amplitudes depended on primary tone frequency, with mid to high frequencies eliciting the largest DPOAEs. The effect of frequency was significant [ $F(17,255) = 17.95$ ,  $p < 0.001$ ]. DPOAE amplitudes were similar for birds in breeding and non-breeding conditions across all frequencies (mean  $\pm$  SEM  $-9.12 \pm 0.82$  vs.  $-8.17 \pm 0.83$  dB SPL) and did not differ statistically [ $F(1,15) = 0.009$ ,  $p = 0.927$ ]. No significant interactions were observed (all  $p > 0.05$ ).

Although breeding condition did not affect iso-intensity DPOAE amplitudes, it was possible that seasons/hormones affected amplitudes across the dynamic range of stimulus levels. Figure 9b shows DPOAE amplitudes as a function of the level of the first primary tone (L1). These DPOAEs were elicited while F2 was held constant at 7 kHz. This F2 value was chosen because it elicited relatively strong DPOAE amplitudes at 70 dB (see Fig. 9a). DPOAE amplitudes were similar for males and females across stimulus levels [ $F(1,15) = 2.35$ ,  $p = 0.146$ ]; we again pooled their data for analysis. DPOAE amplitudes increased with higher stimulus levels; this effect was significant [ $F(14,210) = 141.8$ ,  $p < 0.001$ ]. DPOAE amplitudes were similar, however, for birds in breeding and non-breeding conditions across all stimulus levels [ $F(1,15) = 2.62$ ,  $p = 0.127$ ]. No significant interactions were observed (all  $p > 0.2$ ).

While DPOAE thresholds depend on DPOAE amplitudes, other factors can affect threshold independently, such as the level of the noise floor. This fact raised the possibility that breeding condition affected DPOAE thresholds without directly affecting DPOAE absolute amplitude measurements. To address this issue, DPOAE thresholds were measured for six F2 frequencies that were also used in the ABR minimum audibility paradigm (1, 2, 3, 4, 6 and 8 kHz). Figure 9c shows DPOAE threshold as a function of F2 frequency. In addition, males and females had similar threshold values (mean  $\pm$  SEM,  $51.9 \pm 2.89$  vs.  $60.2 \pm 3.07$  dB SPL) that did not differ statistically



**Fig. 9** Breeding condition does not affect DPOAE amplitudes or thresholds. **a** DPOAE amplitude changes systematically with F2 frequency, but no amplitude differences are observed between breeding (*open circles*) and non-breeding (*closed circles*) birds. DPOAEs were elicited by iso-intensity primary tones (L1 = 70 dB SPL) for all frequencies tested. **b** DPOAE amplitude increases with increasing stimulus level, but no difference is observed between breeding (*open circles*) and non-breeding (*closed circles*) birds. The amplitude of the noise floor immediately surrounding the DPOAE frequency is indicated by the *shaded gray area*. DPOAEs were elicited by iso-frequency primary tones (F2 = 7 kHz) for all levels tested. **c** DPOAE threshold decreases with increasing stimulus frequency, but no difference is observed between breeding (*open circles*) and non-breeding (*closed circles*) birds. Data are mean  $\pm$  SEM. Breeding birds  $n = 11$  (except  $n = 8$  at 20 and 25 dB SPL in **b**); non-breeding birds  $n = 11$  (except  $n = 7$  at 1,000 Hz in **c**)

( $p > 0.20$ ) and we pooled their data for analysis. In general, the frequencies that elicited that highest amplitude DPOAEs (6–8 kHz) also elicited the lowest thresholds. The effect of stimulus frequency was significant [ $F(5,85) = 15.29, p < 0.001$ ]. Threshold values of birds in breeding condition and non-breeding condition were similar (mean  $\pm$  SEM  $58.1 \pm 3.18$  vs.  $54.9 \pm 2.92$  dB SPL) and did not differ statistically [ $F(1,17) = 0.092, p = 0.77$ ].

**Discussion**

The main purpose of this study was to determine whether breeding condition affects auditory processing at the level of the inner ear and brainstem pathways in a highly seasonal songbird. A robust effect of breeding condition was found. Birds exposed to breeding-like conditions had higher ABR thresholds, longer peak latencies, and longer inter-peak intervals than birds housed under non-breeding-like conditions. No measurable effects were found for ABR analyses of frequency resolution or temporal adaptation or for more peripheral measures of auditory function (otoacoustic emissions).

One important note is that while males in this study demonstrated testosterone levels comparable to male white-crowned sparrows free living in the wild, females had much higher estradiol levels than free living birds, even when housed under non-breeding conditions. While the exogenous treatment can account for the high estradiol levels in the breeding condition females, the underlying cause of elevated estradiol in non-breeding females remains unclear. It is possible that the ELISA kit used to measure estradiol levels detected endogenous estrogen-like compounds in the white-crowned sparrow plasma, giving artificially high measurements, although this explanation seems unlikely given that stripped and spiked white-crowned sparrow plasma dilutions paralleled the kit’s standard curves. Alternatively, the social environment of the non-breeding females may have been a contributing factor. It is known that experimentally elevating hormone levels in female white-crowned sparrows can increase the hormone levels of their mates (Moore 1982). Many of the non-breeding females in this study were housed in single-sex aviaries before experimentation, and unidentified social or endocrine cues may have elevated estradiol levels in these birds (it should be noted, however, that birds in single-sex aviaries always had full visual and auditory contact with members of the opposite sex). Although the effect of social interactions between females on their hormone levels has not been addressed in birds, effects of this type have been documented in other taxa (McClintock 1971). These reports, however, are controversial (see Schank 2001). It is important to recognize, however, that

even though we cannot explain the high estradiol levels measured in this study, our experimental groups did show large relative differences in estradiol levels ( $\sim 4\times$  higher in breeding vs. non-breeding conditions).

#### Breeding condition affects ABR thresholds

Both male and female white-crowned sparrows showed significantly higher ABR thresholds when housed under breeding-like conditions than under non-breeding-like conditions. On average, this difference amounted to about 8–10 dB, a substantial amount given that a 10 dB amplitude increase is perceived as twice as loud by humans (Stevens and Poulton 1956). We were careful to verify ABR threshold estimates in several ways. First, visually estimated thresholds showed strong correlations with both blind observer and quantitative estimates. Second, although ABR threshold estimates are approximately 10–30 dB less sensitive than behavioral estimates (Borg and Engstrom 1983; Brittan-Powell et al. 2002), the audiograms presented here are similar in shape to behavioral audiograms from song sparrows (*Melospiza melodia*) and swamp sparrows (*Melospiza georgiana*) (Okanoya and Dooling 1988). Third, RMSD analysis demonstrated that group differences in threshold estimates could not be attributed solely to differences in baseline noise levels. Last, given the fact that there are numerous reports in the literature of absolute peak latency delays as a function of hearing loss (Coats and Martin 1977; Coats 1978; Jerger and Mauldin 1978; Rosenhamer et al. 1981), the fact that breeding condition birds demonstrated both elevated thresholds and prolonged peak latencies for all stimuli tested supports the validity of the threshold differences observed.

The results reported here differ from those of a previous study that showed no effect of season on ABR thresholds or latencies (Henry and Lucas 2009). The discrepancy between the studies may result from a number of different factors. First, Henry and Lucas used house sparrows (*Passer domesticus*), a species that shows much less pronounced seasonal breeding (Nehls 1981) than does the Gambel's subspecies of white-crowned sparrow used in our study. Second, the birds in the Lucas study were divided into three different groups for seasonal comparisons (those caught in March–May, June–July and September–November). It is well known, however, that the seasonal fluctuations in hormone levels are not synchronous within the individuals in a population. Therefore, such comparisons are more robust when subjects are selected on the basis of their hormonal and breeding state, rather than by calendar date (Wingfield and Farnar 1978). It is possible that if Henry and Lucas had measured plasma hormone levels and examined their data on the basis of that comparison, they might have observed effects similar to those reported here.

The results of our study also differ from the published literature in another important way. Previous work has demonstrated seasonal differences in auditory processing in other taxa, including both frogs and fish (Goense and Feng 2005; Miranda and Wilczynski 2009a; Sisneros 2009). In all these cases, sensitivity or frequency tuning has shifted in a direction that enhances reception of mating calls or vocalizations during breeding conditions. These findings led to the a priori hypothesis that white-crowned sparrows in breeding condition would have greater auditory sensitivity than birds in non-breeding condition. Surprisingly, the results here demonstrate the opposite of what was expected; sparrows in non-breeding condition have greater auditory sensitivity than birds in breeding condition. The possible behavioral significance and adaptive value of these findings are discussed below.

#### Breeding condition affects ABR latencies

Both absolute and inter-peak latencies were prolonged in breeding condition white-crowned sparrows. Studies of humans and other mammals suggest that the first peak of the ABR reflects activity of the auditory nerve (Sohmer et al. 1974; Buchwald and Huang 1975; Starr and Hamilton 1976; Achor and Starr 1980; Moller et al. 1981; Moller and Jannetta 1983). Similar wave 1 latencies have been reported for both mammalian and avian species (Buchwald and Huang 1975; Achor and Starr 1980; Katayama 1985, present data; Burkard et al. 1996; Brittan-Powell et al. 2002, 2005) suggesting that the wave 1 generator is the same for both animal classes. In addition, Brittan-Powell et al. (2002) demonstrated that wave 1 of the ABR corresponds to the first deflection of the compound action potential in budgerigars (*Melopsittacus undulatus*); this finding supports the notion that wave 1 of the avian ABR reflects activity of the auditory nerve. The breeding condition increase in white-crowned sparrow wave 1 latencies therefore suggests a hormonal effect that originates early in the auditory pathway.

The generator of wave 2 is less clear. Intracranial recordings, clinical evidence and estimates of conduction times and synaptic delays suggest that wave 2 of the human ABR reflects processing in the proximal portion of the auditory nerve (Moller and Jannetta 1981, 1983; Hall 2007). The lack of such studies in birds and the dramatic difference in length of the auditory nerve axons in humans (25 mm, Hall 2007) and songbirds (1–3 mm, personal communication—E.W. Rubel), however, suggests that this conclusion does not necessarily apply to avian species. Additionally confounding is the fact that previous studies have suggested that components of wave 2 of the avian ABR actually correspond to wave 3 of the human ABR (Katayama 1985; Brittan-Powell et al. 2002; Hall 2007),

which is thought to have multiple brainstem generators (Hall 2007).

Although no conclusive statement can be made about the location of the wave 2 generator(s) in this study, much can still be learned from the effect of breeding condition on the wave 2 latency. In particular, wave 2 latency values increased more than wave 1 latencies in breeding condition birds leading to a significant difference in inter-peak intervals. Inter-wave latencies reflect signal conduction times along the auditory pathway (Ponton et al. 1996). Longer inter-wave latencies therefore suggest that axonal conduction velocity and/or synaptic transmission is slower in breeding condition white-crowned sparrows. Possible mechanisms to explain these findings are discussed below.

#### Effect of acoustic stimulation on breeding condition differences

Breeding condition male birds, including white-crowned sparrows, sing at higher rates than non-breeding males (Catchpole and Slater 1995; Meitzen et al. 2009a). Birds in different breeding conditions in our study were held in separate rooms leading to the possibility that breeding birds were more acoustically stimulated than non-breeding birds and that this extra-stimulation contributed to their lower auditory sensitivity. While we did not formally measure the differences in singing and calling rates between breeding and non-breeding birds during housing, breeding females were often housed in a room with no males. Wild female white-crowned sparrows rarely sing without testosterone stimulation, and almost never do so in captivity (Baptista and Petrinovich 1986) (indeed, the two authors who work with white-crowned sparrows routinely (EB and MLC) have never observed a captive female sing under either breeding or non-breeding conditions). Therefore, breeding condition females that were housed without males would be less acoustically stimulated than their non-breeding counterparts that were housed in rooms with many males. Conversely, we would expect breeding condition males to be more stimulated than their non-breeding counterparts. Because similar ABR findings were observed for males and females, it is unlikely that differences in acoustic stimulation can explain the differences in auditory sensitivity reported in this study.

#### Cellular origins of breeding condition differences

Increased ABR thresholds and prolonged wave 1 latencies in breeding condition white-crowned sparrows initially raised the possibility that seasons and hormones act on the hair cells or other auditory processing components pre-synaptic to the auditory nerve afferents. Others have suggested similar models (Sisneros et al. 2004; Henry and

Lucas 2009), and hair cells presented themselves as interesting candidates because of their known expression of hormone receptors in numerous species (Stenberg et al. 1999, 2001; Sisneros et al. 2004; Hultcrantz et al. 2006; Noirod et al. 2009). The DPOAE analyses, however, do not support the idea of a functional change at the hair cell level. DPOAEs, like other types of otoacoustic emissions, are indices of cochlear function and are now widely used in clinical diagnostic settings (Harris 1990; Pak et al. 2000; Akdogan and Ozkan 2006). Changes in DPOAE thresholds and input–output functions are reliable and valid indicators of changes in auditory sensitivity (Lonsbury-Martin et al. 1991; Kemp 2002). If preneuronal changes in auditory function were responsible for the elevated ABR thresholds and increased peak 1 latencies found in breeding condition birds, one would expect to find decreased DPOAE amplitudes and/or increased DPOAE thresholds. No measurable effect of breeding condition was found for DPOAEs recorded from male or female birds, suggesting that the functional change does not occur peripheral to the hair cell–auditory nerve synapse. It is important to note, however, that the site of hormone action and the site of the functional change are not necessarily the same. For example, steroid hormones have been implicated in the regulation of synaptic signaling (Mitsushima et al. 2009) leading to the possibility that hormones act on hair cells to modulate neurotransmitter release at the hair cell–auditory nerve synapse. Similarly, elevated aromatase expression or activity in the auditory hair cells of breeding condition birds may contribute to functional changes at the level of the auditory nerve, an idea discussed more fully below.

Steroid hormones are well-known regulators of ionic currents and neurotransmitter receptor expression (McEwen 1991; Zakon 1998). Hormone binding in cochlear ganglion cells or auditory nerve fibers could, therefore, directly affect axon conduction time and intrinsic excitability leading to the latency and threshold differences observed here. Anatomical evidence supports this possibility. Positive staining for both ER $\alpha$  and ER $\beta$  has been documented in types I and II spiral ganglion cells of mice, rats and humans (Stenberg et al. 1999, 2001). Similarly, Forlano et al. found ER $\alpha$  mRNA and aromatase expression in the auditory nerve fibers of the midshipman fish (*Porichthys notatus*); aromatase was also found in the ganglion cell somata (Forlano et al. 2005). In addition, both ER $\alpha$  and AR are expressed in the cochlear ganglion cells of white-crowned sparrows (Wang, Brenowitz and Rubel, unpublished observations). The contribution of seasonal and hormonal effects on descending efferent pathways cannot be ruled out conclusively at this time however.

Male and female white-crowned sparrows showed similar changes in auditory processing even though they were treated with two different hormones (testosterone and

estradiol). Testosterone can be aromatized to estradiol *in vivo*, however, suggesting that estradiol may mediate the changes observed in both sexes. Previous research has shown that aromatase expression is elevated in breeding condition birds (Fusani et al. 2000), and aromatase activity is highest during the breeding season (Riters et al. 2001; Soma et al. 2003). In addition, other investigators have demonstrated that testosterone treatment increases aromatase activity in the central nervous system of quails (Schumacher and Balthazart 1986), doves (Steimer and Hutchison 1981), canaries (Fusani et al. 2001) and possibly white-crowned sparrows (Park et al. 2005). Aromatase is expressed in the auditory hair cells of zebra finches (Noirot et al. 2009). Notably, aromatase is also expressed in the auditory nerve of two species of fish (Gelinis and Callard 1997; Forlano et al. 2005), although it is not yet known whether this is also true for birds. These findings support the idea that estradiol production is elevated in breeding condition male white-crowned sparrows and available to bind to ERs in the cochlear ganglion cells.

It should be noted that the data presented here may partly result from steroid independent effects of photoperiod, such as seasonal regulation of aromatase or steroid receptor expression (Smith et al. 1997; Soma et al. 1999; Riters et al. 2001; Park et al. 2005). To investigate this possibility further, we looked for correlations between hormone level and ABR thresholds and latencies (Supplementary Figs. 2, 3). Although some of the latency measures significantly correlated with hormone levels in males, we observed no significant correlations for females, nor for ABR thresholds in either sex. These findings suggest that steroid independent effects of photoperiod may play a role in the regulation of auditory processing. One cannot necessarily rule out hormones as a causal factor, however; an alternative possibility is that after a threshold hormone concentration is reached, a physiological response occurs which then levels-off when the concentration reaches a ceiling level. This model seems to explain seasonal changes in the morphology and electrical activity of neurons in the telencephalic song control nuclei (Brenowitz 2008).

#### Behavioral significance

We predicted that auditory thresholds would be lower in breeding condition birds than in non-breeding birds, but observed the opposite pattern. The thresholds observed in white-crowned sparrows exposed to breeding-like conditions (ranging from 25 to 90 dB SPL) are not outside the range of 'normal' thresholds of other songbirds (Dooling et al. 2000) suggesting that these findings may best be interpreted as enhanced sensitivity during the non-breeding season rather than impaired hearing during the breeding season.

One possible explanation for this finding relates to seasonal changes in vocal production. White-crowned sparrow song is known to be shorter, more variable, and less frequently produced outside the breeding season (Meitzen et al. 2009a). In addition, non-breeding song is produced at lower amplitudes (Supplemental Fig. 4). Previous work in other avian species has indicated that song may serve as a flocking and/or roosting signal in birds that form social groups outside the breeding season (Brenowitz 1981). If non-breeding song plays a similar role in white-crowned sparrows, then increased auditory sensitivity may facilitate group cohesion in the non-breeding period. Future work should address this issue and other perceptual implications of seasonal/hormonal effects on auditory processing.

#### Summary of results

To summarize, we found that birds housed under breeding-like laboratory conditions had higher ABR thresholds, longer peak latencies, and increased inter-peak intervals. As measured by ABR methods, temporal processing and frequency tuning were unaffected by breeding state. In addition, otoacoustic emissions appeared to be unaffected by breeding state.

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**Conflict of interest statement** None.

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